

**David J. Falcone – Director of Privacy, Ethics and Compliance;
University Compliance Officer**

David Falcone is the Director of Privacy, Ethics and Compliance and also serves as the University Compliance Officer. David is responsible for conducting periodic assessments to identify potential areas of compliance vulnerability and risk, and acts as an advisor to the institutional compliance risk owners and decentralized compliance risk management leadership. Based on the assessments and the prevailing enforcement landscape, David coordinates assurance reviews and monitoring activities to evaluate policies, processes, responsibilities and outcomes that support compliance with applicable statutory, regulatory and other standards. David sets priorities and supervises teams dedicated to executing compliance program responsibilities for research, privacy, and institutional ethics and compliance monitoring.

David joined the Duke Office of Audit, Risk, and Compliance in June 2017. David's past experience includes implementing and operating a global risk management and compliance program for ViiV Healthcare, a human immunodeficiency virus (HIV) pharmaceutical joint venture of GlaxoSmithKline (GSK), Pfizer and Shionogi, Inc. with international commercial and research and discovery (R&D) businesses. Just before joining Duke, David worked on developing a tailored, risk-based compliance program for Galvani Bioelectronics, a GSK/Verily device joint venture with products in the discovery and research phases of development.

As a senior manager for GSK Internal Audit, David gained experience in auditing global pharmaceutical and R&D controls and processes. He started his career with Grant Thornton LLP, a mid-size public accounting firm, working on auditing and consulting on financial processes across different industries.

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